## Principle of Image Synthesis in Optical/IR Interferometry

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## Interferometric Data

### **Principle of Optical Interferometry**

wavelength



### Sparse u-v coverage





### Image model and its Fourier transform

### general linear expansion:



grid model:

$$I(\boldsymbol{\xi}) = \sum_{k=1}^{k=N} x_k b(\boldsymbol{\xi} - \boldsymbol{\xi}_k) - \mathbf{F} \cdot \mathbf{$$

irregular spectral sampling: 
$$y_j \equiv \hat{I}(\mathbf{v}_j) = \sum_{k=1}^{k=N} A_{j,k} x_k$$

$$A_{j,k} = \hat{b}_{k}(\boldsymbol{v}_{j})$$
$$= \hat{b}(\boldsymbol{v}_{j}) e^{-2 i \pi \boldsymbol{\theta}_{k} \boldsymbol{v}_{j}}$$
$$= e^{-2 i \pi \boldsymbol{\theta}_{k} \boldsymbol{v}_{j}}$$

general linear model grid linear model idem + sinc basis function



### Gridding vs. spectral interpolation





(sampling of Fourier transform)

## Inverse Problem Approach

### The direct model



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### What are the best parameters? Maximum likelihood approach

in the *maximum likelihood* sense, the *best* parameters are the ones which maximize the probability to have obtained the data:

$$\boldsymbol{x}_{\mathrm{ML}} = \operatorname{arg\,max}_{\boldsymbol{x}} \operatorname{Pr}(\boldsymbol{y}|\boldsymbol{m}(\boldsymbol{x}))$$

with: **x** = parameters

$$\mathbf{x}_{ML} = \arg \min_{\mathbf{x}} f_{data}(\mathbf{x})$$
$$f_{data}(\mathbf{x}) = -c_1 \log \Pr(\mathbf{y}|\mathbf{m}(\mathbf{x})) + c_0 \qquad c_1 > 0$$

ML = Maximum Likelihood

# Likelihood penalty for complex visibility data

$$\boldsymbol{x}_{\text{ML}} = \operatorname{arg\,min}_{\boldsymbol{x}} f_{\text{data}}(\boldsymbol{x})$$

$$f_{data}(\mathbf{x}) = -2 \log \Pr[\mathbf{y}|\mathbf{m}(\mathbf{x})] + c_{0}$$

$$= (\mathbf{y} - \mathbf{m}(\mathbf{x}))^{\mathrm{T}} \cdot \mathbf{W} \cdot (\mathbf{y} - \mathbf{m}(\mathbf{x}))$$

$$= ||\mathbf{y} - \mathbf{m}(\mathbf{x})||_{\mathbf{W}}^{2}$$

$$= \sum_{j} w_{j} (y_{j} - m_{j}(\mathbf{x}))^{2} \qquad \text{independent data}$$

$$= \sum_{t} \sum_{j,k} w_{j,k}(t) \left| V_{j,k}^{data}(t) - V_{j,k}^{model}(t) \right|^{2} \qquad \text{Goodman model}$$
with  $V_{model} = \mathbf{A} \cdot \mathbf{x}$ 

$$\mathbf{W} = \operatorname{Cov}^{-1}(\mathbf{e})$$

$$\mathbf{y} = V_{data}$$

# Maximum likelihood solution for complex visibility data

$$\mathbf{x}_{ML} = \operatorname{arg\,min}_{\mathbf{x}} f_{data}(\mathbf{x})$$
  
=  $\|\mathbf{y} - \mathbf{A} \cdot \mathbf{x}\|_{\mathbf{W}}^2$ 

*no unique solution* (due to voids in *u-v* coverage)

using the generalized inverse:

 $\boldsymbol{x}_{\mathrm{ML}} = \left( \boldsymbol{\mathrm{A}}^{\mathrm{T}} \cdot \boldsymbol{\mathrm{W}} \cdot \boldsymbol{\mathrm{A}} \right)^{+} \cdot \boldsymbol{\mathrm{A}}^{\mathrm{T}} \cdot \boldsymbol{\mathrm{W}} \cdot \boldsymbol{y}$ 

which is the *dirty map* 

### Dirty beam and dirty map



64 (a)

44

(b)



Lannes et al. (1997)

### Dirty Beam = Point Spread Function



objet : α Boo (Arcturus) IOTA/IONIC interferometer source : S. Lacour *et al.* (2007)

## Heuristics for Image Reconstruction

solving

$$\hat{I}(\boldsymbol{v}_{j,k}(t)) = V_{j,k}^{\text{data}}(t) \quad \forall j, k, t$$

### for the image have no sense

- maybe no solution
- maybe an infinite number of solutions (voids in u-v coverage can be assigned any value)
- no constraints such as positivity, support, flux
- fit the noise (amplification of noise when model is illconditioned)

intuitively

- model (F.T. of image) must be as close to data as allowed by noise level but no more
- image must be positive, normalized, etc.
- image must be as *simple* as possible

### Maximum a posteriori: Bayesian Approach

the idea is to *maximize the probability of the model given the data* (MAP = *maximum a posteriori*):

$$\begin{aligned} \mathbf{x}_{\text{MAP}} &= \arg \max_{\mathbf{x}} \Pr(\mathbf{m}(\mathbf{x}) | \mathbf{y}) \\ &= \arg \max_{\mathbf{x}} \frac{\Pr(\mathbf{y} | \mathbf{x}) \ \Pr(\mathbf{x})}{\Pr(\mathbf{y})} \quad \text{(Bayes theorem)} \\ &= \arg \min_{\mathbf{x}} \{\underbrace{-\log \Pr(\mathbf{y} | \mathbf{x})}_{f_{\text{data}}(\mathbf{x})} \underbrace{-\log \Pr(\mathbf{x})}_{f_{\text{prior}}(\mathbf{x})} \} \\ &= \arg \min_{\mathbf{x}} f_{\text{MAP}}(\mathbf{x}) \end{aligned}$$

$$f_{\text{MAP}}(\mathbf{x}) = f_{\text{data}}(\mathbf{x}) + f_{\text{prior}}(\mathbf{x})$$
 (penalty function

## Pragmatic Bayesian Approach (1)

- in practice:
  - the statistics of the data is ~ known
  - the a priori statistics is *unknown*
- the priors must have some (qualitative) properties
  - solve degeneracies (ill-posedness)
  - avoid noise amplification (ill-conditioning)
  - supplement missing information (incomplete data)
- for instance:
  - imposing sufficient smoothness avoids noise amplification
  - imposing compactness helps filling voids in u-v coverage
- hence we may know the kind of required priors but do not know to what level they must be imposed

 we want to match the priors (*e.g.* the restored image must be *compact* or *smooth*) as much as possible

 $\min_{\boldsymbol{x}} f_{\text{prior}}(\boldsymbol{x})$ 

• we want to be compatible with the data

 $f_{\text{data}}(\mathbf{x}) \leq \eta$ 

where  $\eta$  is set according to the noise level

and accounting for constraints:  $x \ge 0$ 

 $\sum_{j} x_{j} = 1$ 

### Pragmatic Bayesian Approach (3) Penalized Likelihood

constrained optimization problem:

$$\mathbf{x}^+ = \operatorname{arg\,min}_{\mathbf{x}} f_{\operatorname{prior}}(\mathbf{x}) \quad \text{s.t.} \quad f_{\operatorname{data}}(\mathbf{x}) \leq \eta$$

**Lagrangian:** 
$$L(\mathbf{x}; \alpha) = f_{\text{prior}}(\mathbf{x}) + \alpha (f_{\text{data}}(\mathbf{x}) - \eta)$$

if the constraint is *active*,  $\alpha > 0$  and  $f_{data}(x^+) = \eta$ ; otherwise, the data are unused!

$$\mathbf{x}^{+} = \operatorname{arg\,min}_{\mathbf{x}} f_{\operatorname{prior}}(\mathbf{x}) + \alpha f_{\operatorname{data}}(\mathbf{x})$$
$$= \operatorname{arg\,min}_{\mathbf{x}} f_{\operatorname{data}}(\mathbf{x}) + \mu f_{\operatorname{prior}}(\mathbf{x})$$
$$= \operatorname{arg\,min}_{\mathbf{x}} f(\mathbf{x};\mu)$$

penalty function:  $f(\mathbf{x}; \mu) = f_{data}(\mathbf{x}) + \mu f_{prior}(\mathbf{x})$ 

with  $\mu = 1/\alpha > 0$ 

## **Inverse Problem Approach**

> direct model:

$$y=m(x)+e$$
  
 $y=M\cdot x+e$ 

general (non-linear) model

linear model

- y = data
- x = parameters (*e.g.* restored image)
- m, M = instrument response
- **e** = errors (noise + approximations)

#### > direct inversion forbidden when

- $m^{-1}$  (or  $M^{-1}$ ) does not exist (even approximately)
- noise amplification:  $x^+ = \mathbf{M}^{-1} \cdot y = x + \mathbf{M}^{-1} \cdot e$

> inverse problem approach required

- account for a priori constraints (regularization)

 $\mathbf{x}^{+} = \operatorname{arg\,min}_{\mathbf{x}} f_{\text{data}}(\mathbf{x}) + \mu f_{\text{prior}}(\mathbf{x})$ 

### **Inverse Problem Approach**

objective: find the **best** parameters given the data



Calibration and problems due to turbulence instantaneous complex visibility:  $V_{j,k}(t) = \hat{I}(\mathbf{v}_{j,k}) g_j^*(t) g_k(t)$ 

gain: 
$$g_k(t) = \tau_k(t) e^{i \phi_k(t)}$$
  
random phase shift:  $\phi_k(t) = \frac{2 \pi \delta_k(t)}{\lambda}$ 

$$f_{\text{data}}(\boldsymbol{x}, \boldsymbol{g}) = \sum_{t} \sum_{j,k} w_{j,k}(t) \left| V_{j,k}^{\text{data}}(t) - g_{j}(t) g_{k}^{*}(t) V_{j,k}^{\text{model}}(t) \right|^{2}$$

## Self-calibration Algorithm

$$f_{\text{data}}(\boldsymbol{x}, \boldsymbol{g}) = \sum_{t} \sum_{j,k} w_{j,k}(t) \left| V_{j,k}^{\text{data}}(t) - g_{j}(t) g_{k}^{*}(t) V_{j,k}^{\text{model}}(t) \right|^{2}$$

0. initialization:choose  $\mu$ , and  $x^{(0)}$ , set n = 11. self-calibration: $g^{(n)} = \arg \min_g f_{data}(x^{(n-1)}, g)$ 2. image reconstruction: $x^{(n)} = \arg \min_x f_{data}(x, g^{(n)}) + \mu f_{prior}(x)$ 3. convergence?yes: terminate with solution  $x^{(n)}$ ;<br/>no: let n = n + 1 and loop to step 1.

#### Notes:

- 1. algorithm can be started with initial gains  $g^{(0)}$
- 2. image reconstruction can be any method (CLEAN, MEM, etc.)
- 3. self-calibration is generally non-convex
- 4. photometric calibration yields |g| = 1, only the gain phases are to be found

instantaneous *complex visibility*:  $V_{j,k}(t) = \hat{I}(\mathbf{v}_{j,k}(t)) g_j^*(t) g_k(t)$ 

gain:  $g_k(t) = e^{i \phi_k(t)}$  (after photometric calibration) random phase shift:  $\phi_k(t) = \frac{2 \pi \delta_k(t)}{\lambda}$ 

coherence time of turbulence  $\sim 1 \text{ ms}$  (in optical/IR)



$$\langle V_{i,j}(t) \rangle_k = \hat{I}(\mathbf{v}_{i,j}(\overline{t}_k)) \underbrace{\langle g_i^*(t) g_j(t) \rangle}_0 = 0$$



*non-linear* estimators insensitive to this defect must be used

# Measurements in Optical/IR Interferometry

instantaneous complex visibility:

$$V_{j,k}(t) = \hat{I}(\boldsymbol{v}_{j,k}) g_j^*(t) g_k(t)$$
$$g_k(t) = e^{i \phi_k(t)}$$
$$\langle V_{j,k}(t) \rangle = \hat{I}(\boldsymbol{v}_{j,k}) \langle g_j^*(t) g_k(t) \rangle = 0$$

powerspectrum:

$$\langle |V_{j,k}(t)|^2 \rangle = |\hat{I}(\mathbf{v}_{j,k})|^2$$

**bispectrum** (a.k.a. triple product):

 $\langle V_{i,j}(t) V_{j,k}(t) V_{k,i}(t) \rangle = \hat{I}(\boldsymbol{v}_{i,j}) \hat{I}(\boldsymbol{v}_{j,k}) \hat{I}(\boldsymbol{v}_{k,i}) \langle e^{i[\phi_j(t) - \phi_i(t)] + i[\phi_k(t) - \phi_j(t)] + i[\phi_i(t) - \phi_k(t)]} \rangle$  $= \hat{I}(\boldsymbol{v}_{i,j}) \hat{I}(\boldsymbol{v}_{j,k}) \hat{I}^*(\boldsymbol{v}_{i,k} + \boldsymbol{v}_{j,k})$ 

### Statistics of Real Data



(source: S. Meimon, 2006)

### Data Penalty Term

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#### radio-astronomy

for complex visibility data:

$$f_{\text{data}}(\boldsymbol{x}) = (\boldsymbol{A} \cdot \boldsymbol{x} - \boldsymbol{y})^{\mathrm{T}} \cdot \boldsymbol{W} \cdot (\boldsymbol{A} \cdot \boldsymbol{x} - \boldsymbol{y})$$
$$= \frac{1}{\sigma^{2}} \|\boldsymbol{A} \cdot \boldsymbol{x} - \boldsymbol{y}\|^{2}$$

hypothesis: Gaussian noise, independent measurements

**optical/IR interferometry**  
for bispectral data: 
$$f_{data}(\mathbf{x}) = \sum_{k} \frac{1}{\sigma_{k}^{2}} |\hat{x}_{j_{1,k}} \hat{x}_{j_{2,k}} \hat{x}_{j_{3,k}}^{*} - d_{k}|^{2}$$
 with  $\hat{\mathbf{x}} \equiv \mathbf{A} \cdot \mathbf{x}$   
independent amplitude and phase data (*phase wrapping*)  
*non-convex*



- **CLEAN** (J. A. Högborn, 1974)
  - point-like sources
  - data: complex visibilities
  - matching pursuit algorithm
  - objective: find the N most significant point-like sources

$$f_{\text{prior}}(\boldsymbol{x}) = \mu \|\boldsymbol{x}\|_0 = \mu \operatorname{Card}(\{j; x_j \neq 0\})$$

can be approximated by (Compressed Sensing, E. Candès et al., 2006) :

$$f_{\text{prior}}(\boldsymbol{x}) = \boldsymbol{\mu} \|\boldsymbol{x}\|_{1} = \boldsymbol{\mu} \sum_{j} |\boldsymbol{x}_{j}|$$

#### **Clean algorithm:**

- initialize the residual dirty map to be the dirty map
- match the residual dirty map with the dirty beam
- remove a fraction of the peak intensity
- repeat until convergence
- convolve the spiky image with the clean beam and add the final residual dirty map

## Maximum Entropy Methods

- MEM (review by R. Narayan & R. Nityananda, 1986)
  - model image: pixels
  - data: complex visibilities
  - régularization (neg-entropie, insures positivity)

$$f_{\text{prior}}(\boldsymbol{x}) = \mu \sum_{j} \left[ \overline{x}_{j} - x_{j} + x_{j} \log(x_{j}/\overline{x}_{j}) \right]$$

- reconstruction by non-linear optimisation in a local sub-space of search directions with  $\mu$  tuned on the fly (J. Skilling & R. K. Bryan, 1984)
- BSMEM (D. Buscher, 1994)
  - idem for bispectrum data

### **Quadratic Priors**

- quadratic regularization:
- least norm:
- smoothness:
- Gaussian prior:

$$f_{\text{prior}}(\boldsymbol{x}) = \mu (\boldsymbol{B} \cdot \boldsymbol{x} - \boldsymbol{c})^{\mathrm{T}} \cdot \boldsymbol{Q} \cdot (\boldsymbol{B} \cdot \boldsymbol{x} - \boldsymbol{c})$$

$$f_{\text{prior}}(\mathbf{x}) = \mu ||\mathbf{x}||_{2}^{2} = \mu \sum_{j} x_{j}^{2}$$

$$f_{\text{prior}}(\boldsymbol{x}) = \mu \| \boldsymbol{D} \cdot \boldsymbol{x} \|_2^2$$

$$f_{\text{prior}}(\boldsymbol{x}) = \mu (\boldsymbol{x} - \overline{\boldsymbol{x}})^{\mathrm{T}} \cdot \operatorname{Cov}(\boldsymbol{x})^{-1} \cdot (\boldsymbol{x} - \overline{\boldsymbol{x}})$$

WIPE (A. Lannes *et al.*, 1994): damping of data (complex visibilities) + avoid high frequencies

$$f_{\text{prior}}(\boldsymbol{x}) = \sum_{k, \|\boldsymbol{v}_k\| > v_{\text{cut}}} |\hat{x}_k|^2$$

### **Other Algorithms**

- Building-Blocks (K.-H. Hofmann & G. Weigelt, 1993)
  - image model: building blocks
  - data: bispectre
  - iterative reconstruction (linear approximation of the criterion and steepest descent)
  - objective: find the *N* most significant components
  - ~ CLEAN for bispectrum
  - multi-résolution (J.-F. Giovannelli & A. Coulais, 2005)
    - quadratiqc (quasi-L1 for point-like components) + positivity + support
  - MArkov Chain IMager (**MACIM**, M. Ireland et al., 2006)

### **Imaging Beauty Contest 2006**



### Application à des données réelles



# Reconstruction avec/sans information de phase



- non-linear
- sparse *u-v* couverage
- incomplete Fourier phase information
  - (e.g. 1 phase closure out of 3 amplitudes)
- constraints
  - positivity
  - normalization (calibration)
- Fourier transform with irregular spectral sampling
- multi-modal optimization (needs *global* optimization)

## Regularization

### **Pre-Main Sequence Star Simulation**

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-400

-400

spatial frequency (cycles/arcsec)

0

200

400

6 observing nights

-200

- 6 configurations with 3 AT's
- 190 powerspectrum data
- 63 phase closures
- 1024 unknowns

## **Bad Regularization Type**





### Effects of the SNR

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micro-jet identifiable
 opening angle can be estin
 only local optimization (not
 super-resolution (×4)



### Varying the Regularization Level





## Mira: a Multi-telescope Image Reconstruction Algorithm

### Image reconstruction problem



• Mira aims at minimizing the penalty

$$f(\mathbf{x}; \boldsymbol{\mu}) = f_{\text{data}}(\mathbf{x}) + \boldsymbol{\mu} f_{\text{prior}}(\mathbf{x})$$

### by local minimization

- requirements:
  - input data (complex visibility, powerspectrum, bispectrum)
  - regularization type
  - regularization level
  - initial solution

#### you can get 0.9 version of MIRA at:

http://www-obs.univ-lyon1.fr/labo/perso/eric.thiebaut/files/mira-0.9.tar.bz2

# Using Mira algorithm... simple image reconstruction

- 0. Load Mira software: include, "mira.i";
- 1. Load input data into opaque object db: db = mira\_new(MIRA\_HOME+"data/data1.oifits");
- 2. Configure for image reconstruction: mira\_config, db, xform="exact", dim=100, pixelsize=0.4\*MIRA\_MILLIARCSECOND;

```
3. Choose a regularization method:
    rgl = rgl_new("smoothness");
```

- 4. Attempt an image reconstruction (from scratch): dim = mira\_get\_dim(db); img0 = array(double, dim, dim); img0(dim/2, dim/2) = 1.0; img1 = mira\_solve(db, img0, maxeval=500, verb=1, xmin=0.0, normalization=1, regul=rg1, mu=1e6);
- 5. Continue reconstruction with recentered image: img1 = mira\_solve(db, mira\_recenter(img1), maxeval=500, verb=1, xmin=0.0, normalization=1, regul=rgl, mu=1e6);

### Using Mira algorithm... changing the regularization

- 0. Load Mira software.
  - 1. Load input data into opaque object db.
  - 2. Configure for image reconstruction.

```
3. Choose a regularization method:
    dim = mira_get_dim(db);
    img0 = array(double, dim, dim);
    img0(dim/2, dim/2) = 1.0;
    rgl = rgl_new("xsmooth");
    rgl_config, rgl, "cost","cost_l2l1", "threshold",2e-5,
        "dimlist",dimsof(img0);
```

- 4. Attempt an image reconstruction (from scratch): img1 = mira\_solve(db, img0, maxeval=500, verb=1, xmin=0.0, normalization=1, regul=rg1, mu=1e6);
- 5. Continue reconstruction with recentered image: img1 = mira\_solve(db, mira\_recenter(img1), maxeval=500, verb=1, xmin=0.0, normalization=1, regul=rgl, mu=1e7);