## Beam estimation

Ferréol Soulez

## Juin 2023

## **1** Direct estimation

To prevent the use of fitting methods to estimate the beam size, the main idea is to consider that (u, v) points were drawn from a 2D normal distribution. The beam shape is extracted from the empirical covariance of this distribution.

We have N points in the uv plane described by the vectors  $\boldsymbol{u}$  and  $\boldsymbol{v}$  (including the null frequency (u, v) = (0, 0)). We can define the modulation transfer function h of the interferometer as:

$$\hat{h}(u,v) = \begin{cases} 1 & \text{if } u \in \boldsymbol{u} \text{ and } v \in \boldsymbol{v}, \\ 0 & \text{otherwise.} \end{cases}$$
(1)

The dirty beam is h the Fourier transform of  $\hat{h}$ . One way to define the resolution of the interferometer is to compute the so called beam as half-width at half-maximum of a 2D Gaussian g fitted on the central peak of the dirty beam. The covariance matrix of the Gaussian  $\mathbf{C}$  are given by

$$\theta = \underset{\mathbf{C}}{\arg\min} \|g(\mathbf{C}) - h\|^2 \tag{2}$$

From Parseval-Plancherel theorem, this can be also written in Fourier domain as

$$\theta = \underset{\mathbf{C}}{\operatorname{arg\,min}} \left\| \widehat{g}(\mathbf{C}) - \widehat{h} \right\|^2 \tag{3}$$

where the  $\widehat{g}(\mathbf{C})$  is also a Gaussian of covariance matrix  $\mathbf{D} = \pi^{-2} \mathbf{C}^{-1}$ .

This covariance matrix **D** can be approximated from the distribution of the sampling point in the uv plane. As by construction  $\langle u \rangle = 0$  and  $\langle v \rangle = 0$  we have:

$$\mathbf{D} = \frac{2}{2N+1} \left( \begin{array}{cc} \sum_{n} u_{n}^{2} & \sum_{k} u_{k} v_{k} \\ \sum_{k} u_{k} v_{k} & \sum_{n} v_{n}^{2} \end{array} \right)$$
(4)

The  $\frac{2}{2N+1}$  factor is to count twice all the (uv) points (due to the symmetry of the uv plane) excepted the null frequency. In addition to its covariance matrix **C**, we can describe the beam with its principal angle  $\theta$  and its half-widths at half maximum  $r_1$  and

 $r_2$  along both the major and minor axes respectively. These parameters can be extracted by the mean of the eigendecomposition:

$$\mathbf{D} = \mathbf{Q} \, \mathbf{\Lambda} \, \mathbf{Q}^{\mathrm{T}} \tag{5}$$

where  $\mathbf{Q}$  and  $\mathbf{\Lambda}$  are the matrices of eigenvectors and eigenvalues respectively. The matrix  $\mathbf{\Lambda}$  is a diagonal matrix that contains the eigenvalues  $(\lambda_1, \lambda_2)$  that are the variances along both axis.  $\mathbf{Q}$  is a rotation by the principal angle. As  $\mathbf{C} = \pi^{-2}\mathbf{D}^{-1}$ , its eigenvalues are  $\mathbf{\Lambda}^{-1}$  and major and minor axis are inverted leading to a rotation of  $\pi/2$  of the principal angle. As a result, these matrices can be expressed as a function of the beam parameters using as :

$$\mathbf{Q} = \begin{pmatrix} \cos(\pi/2 - \theta) & -\sin(\pi/2 - \theta) \\ \sin(\pi/2 - \theta) & \cos(\pi/2 - \theta) \end{pmatrix}$$
(6)

$$\mathbf{\Lambda} = \frac{\log(2)}{2\pi^2} \begin{pmatrix} r_1^{-2} & 0\\ 0 & r_2^{-2} \end{pmatrix}$$
(7)

(8)

where  $\frac{\sqrt{2\log(2)}}{2}$  is a factor to convert standard deviation to half-width at half-maximum. The beam ellipse parameters are then:

$$r_1 = \frac{\sqrt{2\log(2)}}{2\pi} \frac{1}{\sqrt{\lambda_1}} \tag{9}$$

$$r_2 = \frac{\sqrt{2\log(2)}}{2\pi} \frac{1}{\sqrt{\lambda_2}} \tag{10}$$

$$\theta = \arctan\left(Q[1,1], Q[2,1]\right) \tag{11}$$

In OI maging, we can plot the beam by applying the transformation matrix  $\mathbf{T} = \frac{1}{\pi} \mathbf{Q} \mathbf{\Lambda}^{-1/2}$  to a circle of diameter 1.

## 2 Results

See notebook https://jovian.com/ferreols/beamexample